

12/29/00
JC951 U.S. PTO

01-02-01

OSTROLENK, FABER, GERB & SOFFEN, LLP
Attorneys at Law
1180 Avenue of the Americas
New York, New York 10036-8403

(212) 382-0700

Telex
23 6925

Facsimile
(212) 382-0888

Cable
Ostrofaber NewYork

12/29/00
JC913 U.S. PTO
09/751086

Express Mail #EL583736783US

Asst. Commissioner for Patents
Washington, DC 20231

OFGS File No. : P/1909-144
Inventor : Shigeru YONEDA
Title : TEMPERATURE-INDEPENDENT ARRAYED WAVEGUIDE GRATING
DEVICE
Assignee : NEC Corporation

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

☒ Print EFS Data Sheet
☒ 21 Pages of Specification including Abstract and Claims
☒ 6 Numbered Claims Calculated as 6 Claims for Fee Purposes
☒ 11 Sheets of Drawing Containing Figures 1 to 11. (Formal)
☒ Declaration and Power of Attorney
Priority is Claimed under 35 U.S.C. §119:
Convention Date _____ for _____ Appln. S.N. _____
☒ Certified Priority Application
☒ PTO-1449 with 2 references
☒ Small Entity Status is claimed.
☒ Assignment
☒ Return-Addressed Post Card

OFGS Check No. 2770, which includes the fee of \$750.00, calculated as follows:

Basic Filing Fee:	\$ 710.00
Additional Filing Fees:	
Total Number of Claims in Excess of 20, times \$18:	
Number of Independent Claims in Excess of 3, times \$80:	
One or More Multiple Dependent Claims: Total \$270.	
Total Filing Fees or	710.00
Total Filing Fee Reduced 50% for Small Entity:	
Assignment Recording Fee: \$40	40.00
TOTAL Filing Fee and Assignment Recording Fee:	\$ 750.00

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL583736783US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on December 29, 2000:

Dorothy Jenkins

Name of Person Mailing Correspondence

Dorothy Jenkins
Signature
December 29/2000
Date of Signature

Respectfully submitted,

Robert C. Faber
Robert C. Faber

Registration No.: 24,322
OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, New York 10036-8403
Telephone: (212) 382-0700